

Rand Swiss (Pty) Ltd
Statutory FAIS Disclosure

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Registered Business Name	Rand Swiss (Pty) Ltd		
Registration Number	2014/119362/07		
FSP License Number	FSP 45837		
Licensed Financial Services	Category I and Category II		
Date Authorised	09/06/2015		
Key Individual	Gary John Booysen and Viv Govender		
Telephone	+27 (0) 11 781 4454		
Email Address	info@randswiss.com		
Website Address	www.randswiss.com		
Registered Business and Postal Address	One Exchange Square, 2 Gwen Lane Sandton 2196	P.O. Box 78529 Sandton 2146	
Professional Indemnity	Aon South Africa (Pty) Ltd In compliance with the regulatory minimum of R5,000,000.00		
External Compliance	Sashika Dilkushi Adsetts Telephone: +27 (0) 21 883 8000 Email: SAdsetts@moonstonecompliance.co.za Website: www.moonstoneinfo.com	Postal Address: P.O. Box 12662 Die Boord Stellenbosch, 7613	
Complaints Process, Conflict of Interest Policy, and Product Provider Details	Available on our website and on request		
FAIS Ombud Details	Kasteel Park Office Park Orange Building, 2nd Floor 546 Jochemus Street Erasmus Kloof, Pretoria, 0048 PO Box 74571, Lynwood Ridge, 0040	0860FAISOM (0860 324 766) Tel: +27 12 470 9080 Fax: + 27 12 348 3447 Email: info@faisombud.co.za www.faisombud.co.za	
Products Approved CATEGORY I Intermediary FSP		Advice	Intermediary
	Long-Term Insurance subcategory B1	X	X
	Long-Term Insurance subcategory B2	X	X
	Long-Term Insurance subcategory B2-A	X	X
	Long-Term Insurance subcategory B1-A	X	X
	Long-Term Insurance subcategory C	X	X
	Retail Pension Benefits	X	X
	Pension Funds Benefits	X	X
	Shares	X	X
	Money market instruments	X	X
	Debentures and securitised debt	X	X
	Warrants, certificates and other instruments	X	X
	Bonds	X	X
	Derivative instruments	X	X
	Participatory interests in a collective investment scheme	X	X
	Forex investment	X	X
	Long-term Deposits	X	X
	Short-term Deposits	X	X
Products Approved CATEGORY II Discretionary FSP	Shares		X
	Money market instruments		X
	Warrants, certificates, and other instruments		X
	Bonds		X
	Derivative instruments		X
	Participatory interests in one or more collective investment schemes		X

Version: 20230725-01